

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Under 37 CFR 1.97(b), (c), or (d)			Docket No. 4100P7/CMP/CMP/RKK
In re Application of: Butterfield, et al.			
Serial No. 10/608,513	Filing Date June 26, 2003	Examiner	Group Art Unit
Title: CONJUNCTIVE POLISHING ARTICLE FOR ELECTROCHEMICAL MECHANICAL POLISHING			

Address to:
Commissioner for Patents
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.

37 CFR 1.97(d)

3. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.

Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)

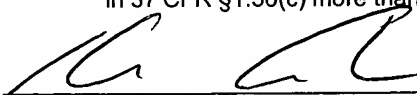
☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))

☐ No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))

☐ The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiencies to deposit account number 20-0782.

37 CFR §1.704(d)

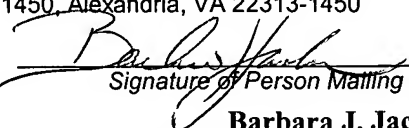
4. ☐ Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.


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Dated: 10/10/03

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U.S. Department of Commerce, Patent and Trademark Office		Docket No.	Serial No.
(PTO Form 1449 modified)		4100P7/CMP/CMP/RKK	10/608,513
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant Butterfield, et al.	Confirmation No.
(Use several sheets if necessary)		Filing Date	Group
Examiner Unknown		June 26, 2003	

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1	6,033,293	03/07/2000	Crevasse et al.	451	494	
	A2	6,261,168	07/17/2001	Jensen et al.	451	527	
	A3	6,261,959	07/17/2001	Travis, et al.	438	692	
	A4	6,328,642	12/11/2001	Pant et al.	451	307	
	A5	6,331,135	12/18/2001	Sabde, et al.	451	41	
	A6	6,402,591	06/11/2002	Thornton	451	36	
	A7	6,406,363	06/18/2002	Xu et al.	451	296	
	A8	6,428,394	08/06/2002	Mooring et al.	451	41	
	A9	6,475,332	11/05/2002	Boyd et al.	156	345.12	
	A10	6,482,307	11/19/2002	Ashjaee et al.	205	103	
	A11	6,497,800	12/24/2002	Talieh et al.	204	224 R	
	A12	6,517,426	02/11/2003	Lee	451	5237	
	A13	6,520,843	02/18/2003	Halley	451	285	
	A14	6,551,179	04/22/2003	Halley	451	285	
	A15	6,561,889	05/13/2003	Xu et al.	451	526	
	A16	6,569,004	05/27/2003	Pham	451	526	
	A17	6,572,463	06/03/2003	Xu et al.	451	526	
	A18	6,585,579	07/01/2003	Jensen et al.	451	527	

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1						<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	
	C2	

Examiner

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.